

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Paul Dagum and Thomas A. Chavez  
Assignee: Rapt, Inc.  
Title: METHOD AND APPARATUS FOR OPTIMIZING A  
MULTIVARIATE ALLOCATION OF RESOURCES  
Application No.: 09/491,461 Filing Date: January 26, 2000  
Examiner: Beth Van Doren Group Art Unit: 3623  
Docket No.: RAP0001P1US Confirmation No.: 8555

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Austin, Texas  
October 26, 2006

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND**

Dear Sir or Madam:

Applicants respectfully request a refund in the amount of \$395.00, which was erroneously authorized as a charge in a recently filed Request for Continued Examination.

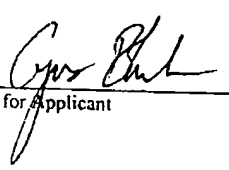
At the time of filing the RCE, Applicant's representative mistakenly authorized the Director of Patents and Trademarks to charge a deposit account (No. 502306) in the amount of \$790.00 for the RCE (see enclosed copy of Transmittal Letter and RCE as filed on October 12, 2006).

However, applicant is a SMALL entity and authorization to charge large entity fees were mistakenly given.


PATENT

Applicants therefore request a refund in the amount of \$395.00, which is the difference between \$790.00 (the incorrectly authorized RCE filing fee) and \$395.00 (the correct small entity RCE filing fee).

If there are any questions regarding this request, please call the undersigned Applicant's attorney at (512) 439-5097. This request is submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>October 26, 2006</u> .	
 _____ Attorney for Applicant	<u>2006 Oct 26</u> _____ Date of Signature

Respectfully submitted,

  
Cyrus F. Bharucha  
Attorney for Applicant  
Reg. No. 42,324  
Telephone: (512) 439-5097  
Facsimile: (512) 439-5099



CAMPBELL  
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ASCOLESE LLP

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4807 Spicewood Springs Road  
Building 4, Suite 201  
Austin, Texas 78759  
T: 512-439-5080  
F: 512-439-5099

October 12, 2006

Mail Stop RCE  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventors: Paul Dagum; Thomas A. Chavez  
Assignee: Rapt, Inc.  
Title: METHOD AND APPARATUS FOR OPTIMIZING A  
MULTIVARIATE ALLOCATION OF RESOURCES  
Application No.: 09/491,461  
Filing Date: January 26, 2000  
Group Art Unit: 3623  
Examiner: Beth Van Doren  
Attorney Docket No.: RAP0001PIUS

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard
- (2) This Transmittal Letter [1 page, in duplicate]
- (3) Request for Continued Examination (RCE) [1 page, in duplicate]
- (4) Amendment and Remarks Accompanying RCE [13 pages]



No additional fee is required.



The fee has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining <u>After Amendment</u>		Highest No. Previously Paid For		Present Extra	Rate	Additional Fee
Total Claims	21	Minus	21	=	0	x \$50.00	\$ 0.00
Independent Claims	3	Minus	3	=	0	x \$200.00	\$ 0.00



Fee of \_\_\_\_\_ for the first filing of one or more multiple  
dependent claims per application

\$



Fee for Request for Continued Examination (RCE)

\$

~~790.00~~



Fee for Petition for Extension of Time

\$

Total additional fee for this Amendment:

\$

~~790.00~~



Conditional Petition for Extension of Time: If an extension of time is required for timely  
filing of the enclosed document(s) after all papers filed with this transmittal have been  
considered, an extension of time is hereby requested.



Please charge our Deposit Account No. 502306 in the amount of \_\_\_\_\_

\$

~~790.00~~



Also, charge any additional fees required and credit any overpayment to our Deposit  
Account No. 502306.

Total:

\$

~~790.00~~

Respectfully submitted,

*Cyrus F. Bharucha*

Cyrus F. Bharucha  
Attorney for Applicant  
Reg. No. 42,324

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States Postal Service as First Class Mail in an envelope addressed to: Mail  
Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria,  
Virginia, 22313-1450, on October 12, 2006.

*Cyrus F. Bharucha*  
Attorney for Applicant

2006 Oct 12  
Date of Signature

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Paul Dagum and Thomas A. Chavez  
Assignee: Rapt, Inc.  
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Austin, Texas

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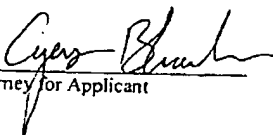
## REQUEST FOR CONTINUED EXAMINATION (RCE)

Dear Sir:

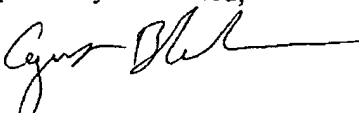
This is a Request for Continued Examination (RCE) of the above-identified application. Please consider and enter the Amendment which is being submitted with this request. The RCE fee required under 37 C.F.R. § 1.17(e) is authorized in an accompanying transmittal letter.

Applicants respectfully request issuance of a Notice of Allowance in light of the Amendment which accompanies this RCE. Please contact the undersigned attorney with any questions concerning this request or the above-identified patent application.

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Attorney for Applicant  
2006 Oct 12  
Date of Signature

Respectfully submitted,

  
Cyrus F. Bharucha  
Attorney for Applicant  
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Facsimile: (512) 439-5099

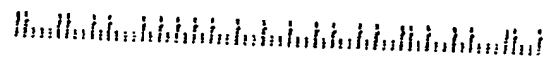
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4807 Spicewood Springs Rd.  
Building 4, Suite 201  
Austin, TX 78759

OCT 20 2006



Mail Stop RCE  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450



Inventors: Paul Dagum; Thomas A. Chavez  
Assignee: RAPT, INC.  
Title: METHOD AND APPARATUS FOR OPTIMIZING A  
MULTIVARIATE ALLOCATION OF RESOURCES

Application No.: 09/491,461  
Filed: January 26, 2000  
Attorney Docket No.: RAP0001PIUS

ENCLOSED:

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CFB/rdd  
Date Mailed: October 12, 2006

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Cyrus F. Bharucha  
Attorney for Applicant

2006 Oct 12  
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Cyrus F. Bharucha  
Cyrus F. Bharucha  
Attorney for Applicant  
Reg. No. 42,324  
Telephone: (512) 439-5097  
Facsimile: (512) 439-5099

10/17/2006 CNGUYEN 00000052 502306 09491461  
01 FC:1801 790.00 DA

Adjustment Date: 11/07/2006 SDIRETAE  
10/17/2006 CNGUYEN 00000052 502306 09491461  
01 FC:1801 790.00 CR

11/07/2006 SDIRETAE 00000001 502306 09491461  
01 FC:2801 395.00 DA